

# Sources and distributions of dust aerosols simulated with the GOCART model

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**Abstract.** The global distribution of dust aerosol is simulated with the Georgia Tech/Goddard Global Ozone Chemistry Aerosol Radiation and Transport (GOCART) model. In this model all topographic lows with bare ground surface are assumed to have accumulated sediments which are potential dust sources. The uplifting of dust particles is expressed as a function of surface wind speed and wetness. The GOCART model is driven by the assimilated meteorological fields from the Goddard Earth Observing System Data Assimilation System (GEOS DAS) which facilitates direct comparison with observations. The model includes seven size classes of mineral dust ranging from 0.1–6  $\mu\text{m}$  radius. The total annual emission is estimated to be between 1604 and 1960  $\text{Tg yr}^{-1}$  in a 5-year simulation. The model has been evaluated by comparing simulation results with ground-based measurements and satellite data. The evaluation has been performed by comparing surface concentrations, vertical distributions, deposition rates, optical thickness, and size distributions. The comparisons show that the model results generally agree with the observations without the necessity of invoking any contribution from anthropogenic disturbances to soils. However, the model overpredicts the transport of dust from the Asian sources to the North Pacific. This discrepancy is attributed to an overestimate of small particle emission from the Asian sources.

## 1. Introduction

There is an increasing interest in the atmospheric transport of mineral dust. Recent research suggests that mineral dust may play an important role in climate forcing by altering the radiation balance in the atmosphere [Tegen *et al.*, 1997] and by affecting cloud nucleation and optical properties [Levin *et al.*, 1996]. In addition, dust can serve as a catalyst for reactive gas species in the atmosphere [Dentener *et al.*, 1996] and can significantly modify photochemical processes [Dickerson *et al.*, 1997]. Large amounts of mineral dust are deposited to the oceans [Duce, 1995; Prospero, 1996]

where it potentially plays an important role in biogeochemical processes.

Many studies, which have characterized dust generation on a micrometeorological scale, have shown that dust mobilization is sensitive to a wide range of factors including the composition of the soils, the soil moisture content, the surface conditions, and the wind velocity. Previous global models have identified the dust sources based on the soil moisture content [Joussaume, 1990], the location of deserts from vegetation data set [Genthon, 1992a, 1992b; Mahowald *et al.*, 1999], the location of sparsely vegetated area, and the soil texture from vegetation and soil data sets [Tegen and Fung, 1994], or the distribution of dust storm frequencies over arid regions [Dentener *et al.*, 1996]. Discrepancies between simulated and observed dust loading have led some modelers to invoke land surface modification as a source that contributes as much as 50% of total dust emission [Tegen and Fung, 1995].

In this work, we determine the global distribution of dust sources by using the surface topographic features. We assume that the most probable sources are related to the degree of depression. The dust sources estimated here are consistent with the recent study of J. M. Prospero *et al.* (Environmental characterization of global sources of atmospheric soil dust derived from the NIMBUS-7 TOMS absorbing aerosol product, submitted to *Reviews of Geophysics*, 2000, hereinafter referred

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to as Prospero et al., submitted manuscript, 2000). In that study, using the long-term (1979-present) record of the global aerosol index retrieved from the Total Ozone Mapping Spectrometer (TOMS) instrument [Herman et al., 1997; Torres et al., 1998], Prospero et al. (submitted manuscript, 2000) have identified the location of all the major dust sources. They have shown that the sources can usually be associated with topographic lows which have a deep accumulation of alluvial sediments formed during the late Pleistocene or Holocene. These sediments are composed of fine particles which are easily eroded by winds.

To simulate the atmospheric dust distribution, emission, transport, and deposition of seven particle size classes between 0.1 and 6  $\mu\text{m}$  radius have been incorporated in the Georgia Tech/Goddard Global Ozone Chemistry Aerosol Radiation and Transport (GOCART) model. This model is driven by assimilated meteorological fields generated in the Goddard Earth Observing System Data Assimilation System (GEOS DAS) and has been applied to simulate atmospheric sulfate aerosols and its precursors [Chin et al., 2000]. The advantage of the GOCART model is that, by using observed meteorological fields, it can effectively simulate atmospheric constituents for any specific time period.

In this paper, we present a detailed description of the components incorporated in GOCART model to simulate dust distributions. The global distribution and budget of mineral dust is discussed. Results from our model simulations are compared with ground-based and satellite measurements. The comparisons include the surface concentrations, vertical distribution, deposition fluxes, optical thickness, and size distribution. We also compare our results with those of other model studies. This paper is focusing on the model evaluation on the global scale and its ability to reproduce the observed seasonal cycle. The detailed regional and daily analysis

of the model results with TOMS aerosol index will be discussed in a separate paper.

## 2. Model Description

### 2.1. Model Configuration

The GOCART model has been developed by Chin et al. [2000] to simulate the distribution of sulfur species in the atmosphere. The model solves the continuity equation which includes the emission, chemistry, advection, convection, diffusion, dry deposition, and wet deposition of each species.

The meteorological fields used to drive the GOCART model are assimilated data by GEOS-DAS [Schubert et al., 1993]. The GOCART model has the same horizontal resolution as GEOS DAS: 2° latitude by 2.5° longitude. The vertical resolution varies with the different GEOS DAS versions. For GEOS DAS version 1, available from February 1980 to November 1995, there are 20 vertical sigma levels from the Earth's surface to 10 mbar. For GEOS DAS version 1.3, available from April 1995 to November 1997, the data fields have been re-gridded from the original 46 levels into 26 vertical levels from the Earth's surface to 0.1 mbar, with 23 levels in the troposphere. The GEOS DAS prognostic variables are instantaneous values saved every 6 hours, while the diagnostic fields are averaged values over 3 or 6 hours, as indicated in Table 1. Values of instantaneous fields are linearly interpolated to every model time step (20 min). The model is initialized with near-zero mass, and is spin-up for 1 month prior to the simulations, and the results are saved every 6 hours.

### 2.2. Particle Sizes

Mineral particles in the atmosphere have radii ranging from about 0.1 to 50  $\mu\text{m}$  [Duce, 1995]. The uplift-

**Table 1.** GEOS DAS Meteorological Fields Used As Model Input

Variable	Time Resolution
<i>Prognostic</i>	
Surface pressure	6 hours, instantaneous
Temperature	6 hours, instantaneous
Wind velocity	6 hours, instantaneous
Specific humidity	6 hours, instantaneous
Surface wetness	6 hours instantaneous
<i>Diagnostic</i>	
Cloud mass flux	6 hours, averaged
Specific humidity change due to moist processes	6 hours, averaged
Wind speed at 10 m	3 hours, averaged
Precipitation	3 hours, averaged
Eddy diffusion	3 hours, averaged

ing of particles smaller than  $0.1 \mu\text{m}$  by air is limited by the adhesive and cohesive forces which tend to form larger particles or aggregates [Scheffer and Schatschabel, 1992]. Because of gravitational settling, particles larger than  $6 \mu\text{m}$  generally have short atmospheric lifetimes (i.e., less than a few hours) [Tegen and Fung, 1994] and limits their significance on the global scale. The present study is therefore restricted to particles with a diameter larger than  $0.1 \mu\text{m}$  and smaller than  $6 \mu\text{m}$  radius. Following Tegen and Lacis [1996], the size distribution is modeled into seven size bins, and the mass distribution varies linearly with respect to radius in each bins. The seven size ranges are  $0.1\text{--}0.18 \mu\text{m}$ ,  $0.18\text{--}0.3 \mu\text{m}$ ,  $0.3\text{--}0.6 \mu\text{m}$ ,  $0.6\text{--}1 \mu\text{m}$ ,  $1\text{--}1.8 \mu\text{m}$ ,  $1.8\text{--}3 \mu\text{m}$ , and  $3\text{--}6 \mu\text{m}$ , with corresponding effective radii of 0.15, 0.25, 0.4, 0.8, 1.5, 2.5, and  $4 \mu\text{m}$ , respectively.

The U.S. Department of Agriculture (USDA) defines particles with a radius between  $1 \mu\text{m}$  and  $25 \mu\text{m}$  as silt, and below  $1 \mu\text{m}$  as clay [Hillel, 1982]. Mineralogical silt particles are mainly composed of quartz, but they are often coated with strongly adherent clay such that their physicochemical properties are similar to clay [Hillel, 1982]. The mass density of clay (classes 1-4) and silt (classes 5-7) are  $2.5$  and  $2.65 \text{ g m}^{-3}$ , respectively.

The size distribution of small particles (radius  $0.1\text{--}1 \mu\text{m}$ ) is assumed not to change during transport, since the differences in the gravitational settling velocities within this size class are small. For numerical efficiency the first four classes are transported as one group ( $0.1\text{--}1 \mu\text{m}$ ) of clay particles with an effective radius of  $0.75 \mu\text{m}$ . When computing optical properties, which have a strong dependency on the size distribution, the clay size class is redistributed into the original four classes ( $0.1\text{--}0.18$ ,  $0.18\text{--}0.3$ ,  $0.3\text{--}0.6$ , and  $0.6\text{--}1 \mu\text{m}$ ) by assuming a mass fraction for each class: 0.9, 8.1, 23.4, and 67.6%, respectively [Tegen and Lacis, 1996].

### 2.3. Dust sources

The origin of clay minerals at the Earth's surface is, in the majority of cases, a process of weathering of rocks to form alluvium (stream deposited sediments) [Velde, 1992]. Because there are no data on the global distribution of alluvium over the land surfaces, the potential location of accumulated sediments has been determined by comparing the elevation of any  $1^\circ \times 1^\circ$  grid point with its surrounding hydrological basin. The complex contours of each basin have been simplified by assuming a constant area of  $10^\circ \times 10^\circ$ . This choice is based on the fact that most hydrological basin has a size of roughly  $10^\circ$  in the arid regions. A more precise source distribution should be based on a more accurate basin contouring by using a hydrological model.

We assume that a basin with pronounced topographic variations contains large amount of sediments which are accumulated essentially in the valleys and depressions, and over a relatively flat basin the amount of alluvium is homogeneously distributed. We introduce here a source function  $S$ , which is the fraction of alluvium available for wind erosion, as follows:

$$S = \left( \frac{z_{\max} - z_i}{z_{\max} - z_{\min}} \right)^5, \quad (1)$$

where  $S$  is the probability to have accumulated sediments in the grid cell  $i$  of altitude  $z_i$ , and  $z_{\max}$  and  $z_{\min}$  are the maximum and minimum elevations in the surrounding  $10^\circ \times 10^\circ$  topography, respectively. It is not intended here to calculate the exact amount of alluvium but rather to define the most probable locations of sediment. To increase the topographic contrast of these locations and to obtain the best fit with the sources identified by Prospero et al. (submitted manuscript, 2000), the relative altitude has been taken at the fifth power. Only land surface with bare soil is considered as possible dust sources. We identify the bare soil surface from the  $1^\circ \times 1^\circ$  vegetation data set derived from the advanced very high resolution radiometer (AVHRR) data [DeFries and Townshend, 1994].

Figure 1 shows the global distributions of the source function  $S$ , regridded on the  $2.5^\circ \times 2^\circ$  GOCART grid, the calculated dust emission (see next section), and the TOMS aerosol index. Most of the maxima of the  $S$  function are collocated with the "hot spots" of the TOMS aerosol index which have been identified as dust sources by Prospero et al. (submitted manuscript, 2000). The clearest examples are the Tunisian, Libyan, Mauritanian, and Malian sources in the Sahara, the Bodele depression in the Sahel, the Indian source along the Indus valley, the Taklimakan located north of the Himalaya, the Lake Eyre basin in Australia, the Salton Sea in southern California, the Altiplano and Patagonia in the Andes, and the Namibian source in southwest Africa.

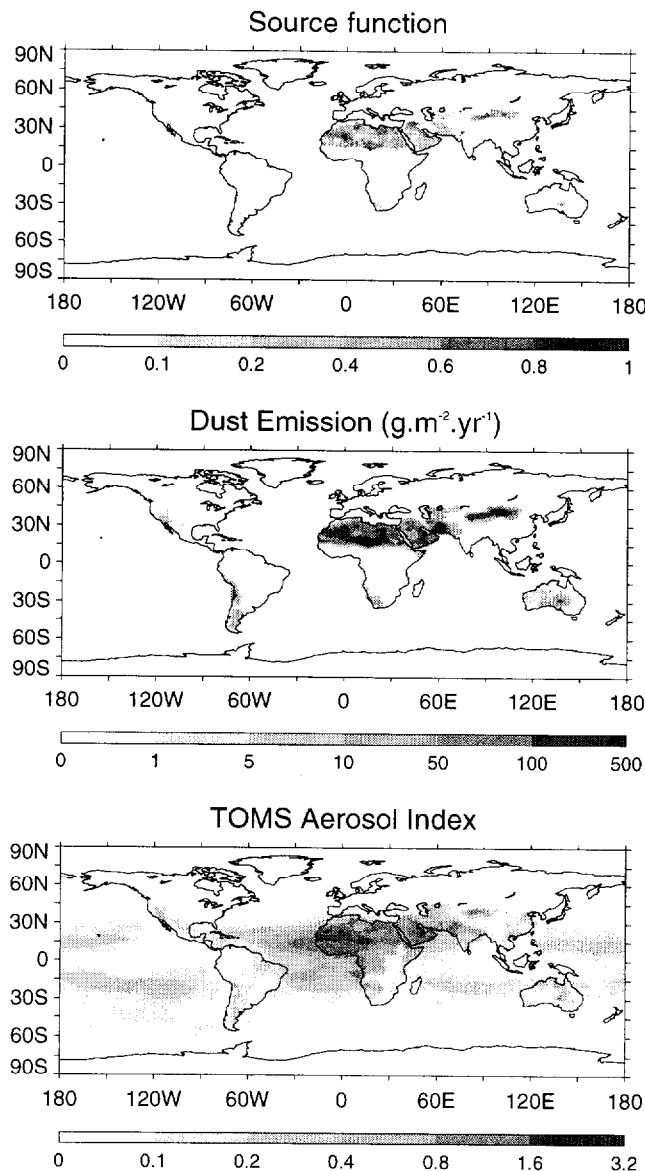
### 2.4. Dust Emission

Dust uplifting into the atmosphere is mainly initiated by saltation bombardment (sand blasting). Marticorena and Bergametti [1995] have developed a model of dust emission based on a complex parameterization of this process. Their emission scheme has been incorporated in dust transport model for regional studies [Schulz et al., 1998; Guelle et al., 2000]. The major limitation of this algorithm is that it needs detailed informations of soil characteristics which are not readily available on the global scale.

We use an equivalent empirical formulation by Gillette and Passi [1988] for dust uplifting which requires the knowledge of the surface wind speed and the threshold velocity of wind erosion. In this formulation the flux  $F_p$  of particle size class  $p$  is approximated by the expression:

$$F_p = \begin{cases} CS_s p u_{10m}^2 (u_{10m} - u_t) & \text{if } u_{10m} > u_t \\ 0 & \text{otherwise} \end{cases}, \quad (2)$$

where  $C$  is a dimensional factor equal to  $1 \mu\text{g s}^2 \text{ m}^{-5}$ ,  $S$  is the source function described in section 2.3,  $u_{10m}$  is the horizontal wind speed at 10 m,  $u_t$  is the threshold



**Figure 1.** Comparison between (top) the dust source function, and (middle) the dust emission and (bottom) distributions of TAMS aerosol index. The dust emission and TAMS aerosol index are average values from 1987 to 1990.

velocity, and  $s_p$  is the fraction of each size class which is defined below.

The fractions of clay and silt sizes are different for different soil types at each location. Owing to the uncertainties in the available soil texture data, we choose a simple particle size distribution, following *Tegen and Fung [1994]*. The fraction of clay is based on the assumption that erodible clay represents 1/10 of the total mass of emitted silt, and that of each silt subclass is assumed to be the same. The  $s_p$  values are thus 0.1 for the class 0.1–1  $\mu\text{m}$ , and 1/3 for the classes 1–1.8  $\mu\text{m}$ , 1.8–3  $\mu\text{m}$ , and 3–6  $\mu\text{m}$ , respectively.

The main factor affecting the threshold velocity  $u_t$  is the interparticle cohesion forces which depends on the

particle size and the soil moisture [*Pye, 1989*]. *Belly [1964]* suggested a relationship for entrainment of sand which takes into account the particle size and soil moisture content. This relationship has been modified here to use the surface wetness (provided by GEOS DAS) in determining the threshold wind velocity:

$$u_t = \begin{cases} A \sqrt{\frac{\rho_p - \rho_a}{\rho_a}} g \Phi_p (1.2 + 0.2 \log_{10} w) & \text{if } w < 0.5 \\ \infty & \text{otherwise} \end{cases} \quad (3)$$

where  $A = 6.5$  is a dimensionless parameter,  $w$  is the surface wetness [0.001–1],  $\Phi_p$  is the particle diameter,  $g$  is the acceleration of gravity,  $\rho_p$  and  $\rho_a$  are the particle and air density, respectively. The typical values of  $w$  in arid regions are between 0.001 and 0.1, but are higher than 0.5 after precipitation. For  $w=0.1$ ,  $u_t$  is 1.5  $\text{m s}^{-1}$  for the smallest class and 3  $\text{m s}^{-1}$  for the largest class. This formulation, based on studies for large sand particles ( $r > 30 \mu\text{m}$ ), shows an increase of  $u_t$  with increase of particle size. However, wind tunnel and field experiments [*Iversen and White, 1982; Marticorena and Bergametti, 1995; Shao et al., 1996*] have shown that for particle  $r < 35 \mu\text{m}$ ,  $u_t$  decreases with the increase of radius. Therefore the threshold velocity obtained from equation (3) will be lower than that from wind tunnel experiments, and the corresponding dust emission will be larger. In Figure 1 the similarity between the source distribution (upper panel) and the annual dust emission averaged from 1987 to 1990 (middle panel) shows the importance of the source function ( $S$ ) relative to the other variables in equation (2).

## 2.5. Removal Processes

Two types of removal processes are considered, the dry deposition which includes the turbulent transfer to the surface and gravitational settling, and the wet deposition which includes rainout and washout in and below clouds. The turbulent transfer of particles at the surface is calculated as a first-order process using a deposition velocity  $v_d$ . The velocity  $v_d$  is assumed to be equivalent to the exchange velocity for heat and moisture at the surface. This exchange velocity is diagnosed from flux profile relationships and is available in the GEOS DAS data [*Takacs et al., 1994*]. However, particles which are deposited at the surface can be uplifted outside source regions depending on the surface wetness  $w$  and the wind velocity. To take into account this fact, we define an effective dry deposition velocity  $\hat{v}_d$  as follows:

$$\hat{v}_d = \begin{cases} v_d (w + (1 - w) \exp[-(u_{10m} - u_t)]) & u_{10m} > u_t \\ v_d & \text{otherwise} \end{cases} \quad (4)$$

In this formula,  $\hat{v}_d$  will be smaller than  $v_d$  with strong surface wind speed over dry surface. Over wet surfaces,  $\hat{v}_d$  is equal to  $v_d$ .

For large aerosols the most efficient removal process is gravitational settling. The change of concentration by

**Table 2.** Annual Budget of Dust for the Years 1987-1990 and 1996<sup>a</sup>

Year	Emi, Tg yr <sup>-1</sup>	Dry, Tg yr <sup>-1</sup>	Wet, Tg yr <sup>-1</sup>	Load, Tg	Life, day
1987	1883	1653	256	38	7.1
1988	1956	1704	289	40	7.3
1989	1804	1613	229	36	7
1990	1829	1611	237	34	6.6
1996	1604	1451	166	31	6.6

<sup>a</sup>There is a 2% difference between the total emission and total deposition due to numerical errors in the advective scheme. Emission, em; dry deposition, dry; wet deposition, wet; atmospheric burden, load; lifetime, life.

gravitational settling is calculated by an implicit scheme at all vertical levels. The settling velocity  $v_{stk}$  for a particle of radius  $r$  is determined using the Stokes law:

$$v_{stk} = \frac{2}{9} \frac{\rho_p g}{\mu} r^2 C_{Cunn} \quad (5)$$

where  $\rho_p$  is the particle density,  $g$  is the acceleration of gravity, and  $\mu$  is the absolute viscosity of the air ( $1.5 \cdot 10^5$  kg m<sup>-1</sup> s<sup>-1</sup>), and  $C_{Cunn}$  is the Cunningham correction which takes into account the viscosity dependency on air pressure and temperature [Fuchs, 1964].

Wet scavenging in the model includes rainout (in-cloud precipitation) and washout (below cloud precipitation) in large-scale precipitation and in deep convective cloud updraft. The parameterization of these processes for sulfate aerosols has been described in detail by Chin *et al.* [2000]. Unfortunately, there are few data concerning the scavenging efficiency of dust particles. Here we use the same wet scavenging parameters for dust as those used for sulfate, which is probably reasonable, since the scavenging efficiency reported by Galloway *et al.* [1993] for non-sea-salt sulfate and nitrate are roughly the same as the values used by Duce *et al.* [1991] for dust over the North Atlantic Ocean.

## 2.6. Transport

Dust particles are transported in the atmosphere by advection, convection, and turbulent mixing. The different methods use to solve these processes have been described elsewhere [Allen *et al.*, 1996; Chin *et al.*, 2000]. Here, briefly, advection is computed by a flux-form semi-Lagrangian method [Lin and Rood, 1996]. Moist convection is parameterized using archived cloud mass flux fields from the GEOS DAS. Turbulent mixing due to mechanical shear and buoyancy is approximated by eddy diffusion. The eddy diffusion coefficient is calculated using a 2.5 order local closure parameterization [Helfand and Labraga, 1988].

## 3. Model Results

The dust distribution has been simulated over several time periods: 1987-1990, 1996, most of 1997, and a few months in 1994. The choice of these periods is based on the available data sets used for comparison. In this section we present the global budget of dust and compare the model results with observations. The observed data sets have been selected for their adequate temporal and spatial coverage. These data sets can be separated into surface measurements (concentration and deposition flux), vertically integrated ground-based observations (size distribution and optical thickness), and satellite data (vertical distribution and optical thickness).

### 3.1. Global Budget

Table 2 gives the annual budget for 5 years, 1987-1990 and 1996. The averaged global dust emission is 1814 Tg yr<sup>-1</sup>. The calculated emission ranges from 1604 Tg yr<sup>-1</sup> in 1996 to 1956 Tg yr<sup>-1</sup> in 1988, reflecting mainly the differences in the wind speed at 10 m. The calculated emissions are within the range of previous model estimates with similar sizes range: 1250 Tg yr<sup>-1</sup> for particles radius less than 8  $\mu$ m [Tegen and Fung, 1995], 1800 Tg yr<sup>-1</sup> for particles less than 10  $\mu$ m [Dentener *et al.*, 1996], and 3000 Tg yr<sup>-1</sup> for a single class centered at 1.25  $\mu$ m [Mahowald *et al.*, 1999]. Dry deposition is the main loss process with an averaged value of 1607 Tg yr<sup>-1</sup>. Wet deposition accounts for 235 Tg yr<sup>-1</sup> and represents only 10% of the total loss. The atmospheric dust load is 36 Tg with an averaged lifetime of about 1 week. Table 3 lists the budget and lifetimes for each size class. The highest emission is obtained for particles radii ranging from 1 to 2  $\mu$ m. The lifetime of the two smallest classes is 2 weeks and drops to 1 day for the largest class (3-6  $\mu$ m). Our estimated lifetime for the smallest particles is 50% longer and for the largest particles is 50% shorter than the values reported by Tegen and Lacis [1996]. The differences can be explained by a stronger dry deposition rate and a weaker wet deposition rate in our case. While the lifetime of particles size 1-2  $\mu$ m agrees with Tegen and Lacis [1996], it is twice

**Table 3.** Annual Budget, Averaged Over 5 Years (1987-1990 and 1996) for Each Size Classes<sup>a</sup>

Size, $\mu$ m	Emis, Tg yr <sup>-1</sup>	Dry, Tg yr <sup>-1</sup>	Wet, Tg yr <sup>-1</sup>	Load, Tg	Life, day
0.1-1	335	265	79	14	14
1-2	571	484	97	14.5	9
2-3	504	461	49	6.1	4.3
3-6	404	396	10	1.3	1.1
0.1-6	1814	1606	235	35.9	7.1

<sup>a</sup>Emission, em; dry deposition, dry; wet deposition, wet; atmospheric burden, load; and lifetime, life.

**Table 4.** Total Simulated Deposition to Various Ocean Regions Compared With Estimated Values by *Duce et al.* [1991] and *Prospero* [1996]<sup>a</sup>

Ocean	<i>Duce et al.</i> [1991]	<i>Prospero</i> [1996]	GOCART
North Pacific	480	96	92
South Pacific	39	8	28
North Atlantic	220	220	184
South Atlantic	24	5	20
North Indian	100	20	138
South Indian	44	9	16
Global	910	358	478

<sup>a</sup>Units are in Tg yr<sup>-1</sup>.

the value reported by *Mahowald et al.* [1999]. However, *Mahowald et al.* [1999] considered only one dust size class that may result in a shorter lifetime.

The simulated total annual deposition to various ocean regions are compared in Table 4 with *Duce et al.* [1991] and *Prospero* [1996] estimations from in situ measurements. *Duce et al.* [1991] use a scavenging ratio for dust of 200 for the North Atlantic Ocean and 1000 over the remainder of the world Ocean. *Prospero* [1996] has suggested to use a scavenging ratio of 200 for all ocean regions. Our calculated total annual deposition over the North Pacific and North Atlantic are comparable to that estimated by *Prospero* [1996]. However, over the other oceans, the model results are closer to values estimated by *Duce et al.* [1991]. The major difference is over the North Indian Ocean where the simulated total deposition is 8 times higher than the value estimated by *Prospero* [1996]. As mentioned by the author, there is a large uncertainty in the estimated value because there were very few data over the Indian Ocean.

### 3.2. Dust Concentrations in the Boundary Layer

The calculated seasonal dust concentrations in the planetary boundary layer (0 to 1 km altitude) are shown in Figure 2. These values have been averaged over 5 years (1987-1990 and 1996). The source regions appear clearly in this figure as well as the patterns due to long-range transport. The highest concentrations (above 250  $\mu\text{g m}^{-3}$ ) are located in the Northern Hemisphere: over the western Sahara and the Sahel region, in part of the Arabic Peninsula and Iran, and in the Asian source regions (Taklimakan and Gobi deserts). Over North America there is a less pronounced maximum which is centered on the Salton Sea in southern California. In the Southern Hemisphere the peak values are located in the regions of the Lake Eyre basin (Australia), Altiplano (Bolivia), Patagonia (Argentina), and Namibia (South Africa). These maxima are quite persistent through the seasons. The model shows a clear latitudinal shift of the dust plume over the North Atlantic from winter to summer. This seasonal shift is re-

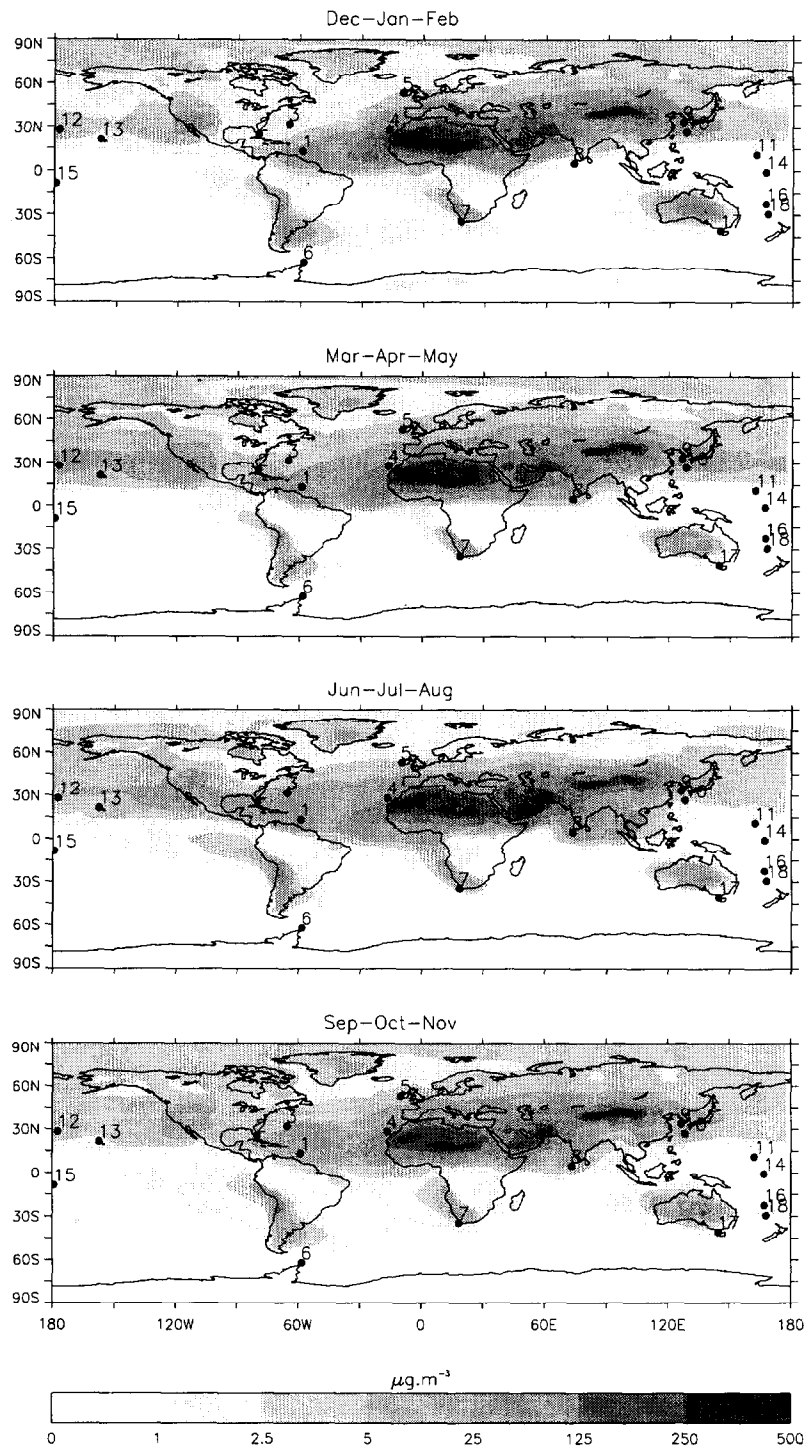
lated to the movements of the Intertropical Convergence Zone (ITCZ) which occupies its southernmost position in winter ( $\sim 5^\circ\text{N}$ ) and northernmost position in summer ( $\sim 20^\circ\text{N}$ ). The seasonal shift in the model matches that observed by satellite instruments, such as the advanced very high resolution radiometer (AVHRR) [*Husar et al.*, 1997].

The surface concentrations are similar to the values calculated by *Dentener et al.* [1996] over North Africa with maxima over the Sahel and Sahara, but they differ significantly elsewhere. The most striking difference is over the Taklimakan desert which is a regional minimum in the work of *Dentener et al.* [1996] but a maximum in Figure 2. Another important difference is near the sources in the Southern Hemisphere where the GOCART model surface concentrations are significantly higher than *Dentener et al.* [1996], except over Australia. The comparison with *Tegen and Fung* [1994] indicates some differences in Africa where the Sahel source was not included in their study and in Australia where the surface concentrations from their model were as high as over the Sahara.

The monthly dust concentration has been compared with the observations at 18 sites indicated in Figure 2 with their number. These sites were operated by the University of Miami [*Prospero*, 1996]. Some sites are located close to the source regions: Izana (station 4) near North Africa, Kaashidhoo (8) near India, Cheju (9) and Hedo (10) in the Asian east coast, Cape Grim (17) near Australia, and Cape Point (7) in South Africa. Other sites are located downwind of the source regions: Barbados (1), Miami (2), and Bermuda (3) along the Saharan dust plumes; Enewetak (11), Midway (12) and Oahu (13) in the corridor of Asian and North American plumes; Norfolk Island (18) and New Caledonia (16) downwind of the Australian dust sources. The remaining sites are located in remote regions of the North Atlantic, Mace Head (5); South Atlantic, King George (6); and Pacific, Nauru (14) and Funafuti (15). Most data have been collected in the 1980s and 1990s, but the period of measurements varies between sites. The locations of the 18 sites are given in Table 5.

Figure 3 shows the comparison of the annually averaged dust concentrations measured and simulated at the 18 sites where the model results are 5 years (1987-1990, 1996) averaged values. The annual concentrations vary by more than 3 orders of magnitude from more than  $30 \mu\text{g m}^{-3}$  at Izana to less than  $0.1 \mu\text{g m}^{-3}$  at Nauru Island. The sites can be divided into two groups depending on the range of surface concentrations: the dusty

sites with values greater than  $2 \mu\text{g m}^{-3}$  and the remote sites with values less than  $2 \mu\text{g m}^{-3}$ . The model concentrations agree with the observations to within a factor of 2 in the dusty regions, but the model overestimates the concentration in the remote regions, particularly in the North Pacific. For example, dust concentration at Midway (12) is overestimated by as much as a factor of 5. Part of this discrepancy is due to the fact that this



**Figure 2.** Seasonal variation of dust concentration, averaged over 5 years (1987-1990 and 1996), in units of  $\mu\text{g m}^{-3}$ .

**Table 5.** List of Stations Managed by the Rosenstiel School of Marine and Atmospheric Science (University of Miami) for the Measurement of Atmospheric Dust Concentration

Site	Name	Latitude	Longitude
1	Barbados	13.17°N	59.43°W
2	Miami	25.75°N	80.25°W
3	Bermuda	32.27°N	64.87°W
4	Izana	28.3°N	16.5°W
5	Mace Head	53.32°N	9.85°W
6	King George	62.18°S	58.3°W
7	Cape Point	34.35°S	18.48°E
8	Kaashidoo	4.95°N	73.45°E
9	Cheju	33.52°N	126.48°E
10	Hedo	26.92°N	128.25°E
11	Enewetak	11.33°N	162.3°E
12	Midway	28.22°N	177.35°W
13	Oahu	21.33°N	157.7°W
14	Nauru	0.53°S	166.95°E
15	Funafuti	8.5°S	179.2°W
16	New Caledonia	22.15°S	167°E
17	Cape Grim	40.68°S	144.68°E
18	Norfolk Island	29.08°S	167.98°E

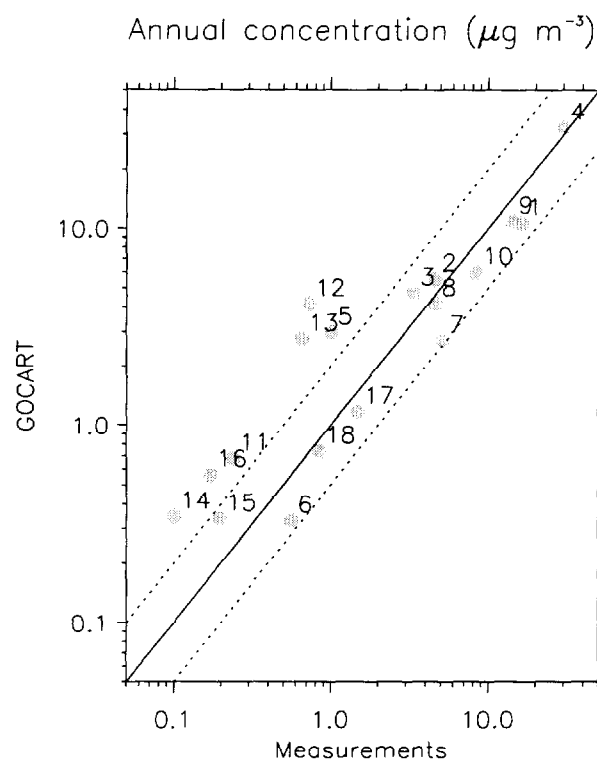
site was operated with sector control so that the samples were taken only when the winds came from the ocean. However, such sampling bias may not explain a factor 5 difference between the model and the data. The good agreement in the dusty regions and the large discrepancy in the remote Pacific suggest a somewhat deficient representation of the transport, the removal processes, or the size distribution in the model. Figure 4 shows the seasonal variation of dust concentration at each of the 18 sites, with 11 sites (1, 2, 3, 4, 5, 8, 9, 10, 11, 12, and 13) in the Northern Hemisphere and 7 sites (6, 7, 14, 15, 16, 17, and 18) in the Southern Hemisphere.

**3.2.1. Northern Hemisphere.** Over the dusty regions the model reproduces the seasonal variation although sometimes the observed values are underestimated. For example, the June maximum at Barbados is underestimated by 50%. Farther away from the African dust source, at Miami and Bermuda, the model results are within the standard deviation of the observed values. The differences between these three sites can be due to subgrid scale local meteorology or to the fact that the measurements have been averaged over different periods. Another example is at Cheju: the spring maximum is well reproduced but is underestimated by 50%. Similarly, the model fails to capture the dust peak in April at Hedo.

A difficulty in assessing the model results at the surface is that it is not necessarily representative of dust concentration aloft where most dust plumes are traveling. Transport aloft is tested with data from Izana (site 4 in Figure 2) which is located about 2.5 km above sea level. Measurements at this location are considered to be representative of most African dust plumes [Chiappello *et al.*, 1997]. The observed concentrations at Izana are the largest of the 18 sites. The simulated concen-

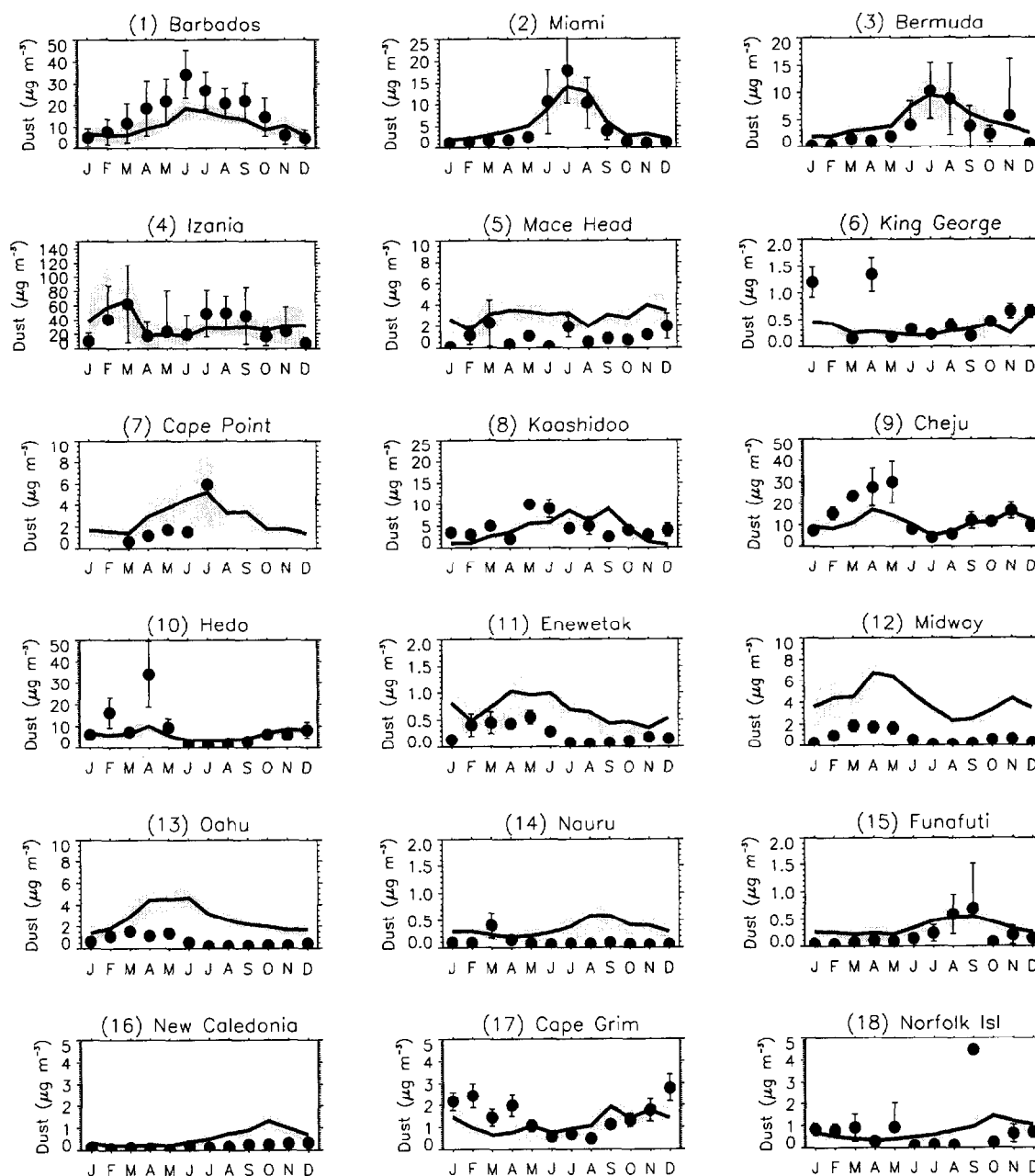
trations are within the observed standard deviations except in December and January. However, the simulated standard deviation is particularly large during these 2 months. This comparison shows no indication of overprediction of dust concentration aloft from the African sources. The situation in Asia is generally different from that in Africa. The Taklimakan desert, which is the major Asian dust source [Zhang *et al.*, 1998], is located between 1 and 2 km above sea level. There is evidence that Asian dust is transported around 8 km altitude [Merrill *et al.*, 1989]. The long-range transport at such a high altitude is much more rapid than commonly found for African dust plumes (~3–5 km altitude) [Karyampudi *et al.*, 1999]. An overprediction of dust emission from the Taklimakan will have a longer and stronger impact on the remote atmosphere than from African dust sources. To better understand the origin of the discrepancy over the North Pacific, it is necessary to validate the emission rates and dust loads close to the Asian sources and the altitude of the traveling plumes. This will be discussed in the next sections.

**3.2.2. Southern Hemisphere.** In the Southern Hemisphere the model simulates the seasonal cycle at the different sites downwind of the dust sources reasonably well (sites 6, 7, 14, 15, 16, 17, and 18 in Figure 4). At Cape Grim, southeast of the Australian dust source, the model reproduces the seasonal cycle of dust concentration, although the austral summer maximum



**Figure 3.** Comparison of annual mean concentration simulated (bold line) and observed (dots) at 18 sites; the standard deviation of the simulated and observed values are represented by shading and vertical segments, respectively.





**Figure 4.** Comparison of monthly dust concentration, simulated and observed at 18 sites, in units of  $\mu\text{g m}^{-3}$ .

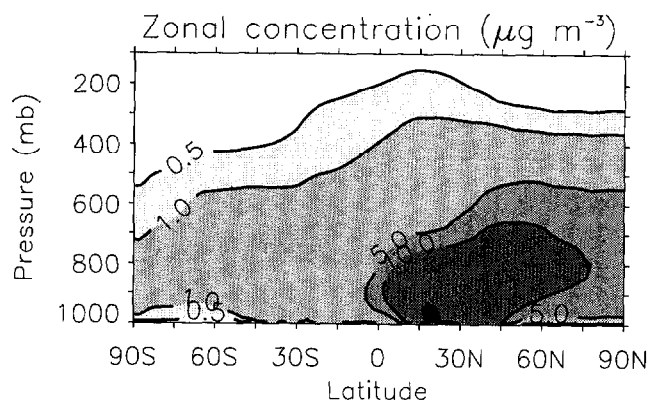
is underpredicted by a factor of 2. Farther east, at Norfolk Island, the austral winter minimum is overpredicted by a factor of 2. At Cape Point, near the Namibian dust source, the model simulates correctly, within the standard deviation, the increase of concentration from March to July. Finally, at King George which is influenced by dust emission from Patagonia, the model is able to reproduce the weak seasonal cycle with a slight increase in January and December. The two observed high peaks in January and April are due to dust events generated during the limited period of measurements.

To summarize these comparisons, we conclude that the model reproduces the dust concentration and seasonal variations at most sites, but overpredicts the dust levels from Asian dust sources over the tropical and sub-

tropical Pacific by a factor of 2 to 5. This overestimation probably applies to the higher latitudes of North Pacific as well. This discrepancy could be related to a misrepresentation of the altitude of the Asian dust plumes, dust emissions from the Asian sources, or the removal rates.

### 3.3. Vertical Distribution

Figure 5 shows the 4-year (1987–1990) zonally averaged dust concentrations in the model. Dust concentration generally decreases rapidly with height. In the free troposphere, zonal mean concentrations range from near 0 to  $5 \mu\text{g m}^{-3}$ . Figure 5 presents some differences with the model results reported by *Dentener*



**Figure 5.** Zonal mean dust concentration with isolines at 0.5, 1, 5, 10, and 50  $\mu\text{g m}^{-3}$ .

*et al.* [1996]. We obtain a stronger northward meridional transport to high latitudes at around 800 mbar, particularly in the Northern Hemisphere. *Tegen and Fung* [1994] obtained also a meridional transport but at higher altitude (around 100 mbar). Although there is considerable evidence of long-range transport to high northern latitudes, for example, in Norway [*Franzén et al.*, 1994] and Greenland [*Davidson et al.*, 1993], there are few direct measurements of dust vertical distribution.

The most useful data with which to compare the model vertical distribution are the aerosol backscattering measurements made during the Lidar in Space Technology Experiment (LITE) [*Winker et al.*, 1996]. The data cover 10 days in September 1994. The lidar return signal was sampled at 10MHz, corresponding to a spatial resolution of 15 m between the Earth's surface to 20 km altitude. The data used for comparison are the backscattering ratio at 532 nm (<http://www-lite.larc.nasa.gov>). The orbits passing over western Sahara (orbit 115) and the Taklimakan desert (orbit 125) have been selected for comparison with model simulations. Plate 1 shows the backscattering ratio measured along the orbits 115 (September 17, 1994, 0100 UT)

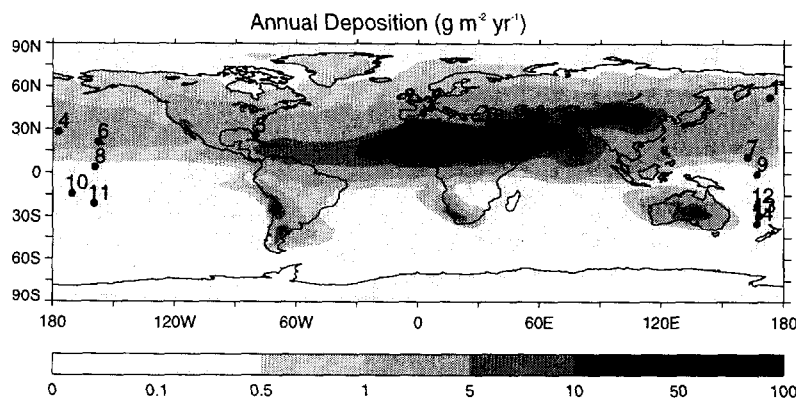
and 125 (September 17, 1600 UT) and the corresponding simulated dust concentrations from the sea level to 10 km altitude. The LITE orbit 115 signal shows the African plume which rises over the African west coast and extends to 5 km altitude (first panel). The strong signal near the ocean surface is due primarily to other types of aerosols (e.g., sea salt). As seen in the second panel of Plate 1, the model reproduces the vertical and horizontal extension of the dust plume, and the location of the dust source in the model is located correctly at between 18.5° and 20°N. The last two panels in Plate 1 are the vertical distributions along the orbit 125 over China. The LITE data (third panel) show a dust plume extending to 7–8 km altitude as well as dust mixed in the boundary layer. The model can reproduce this extension, but does not capture the pronounced feature at 3–4 km (last panel). These results indicate that dust particles emitted from the Asian sources are uplifted more efficiently than over the Sahara to high altitude in the jets where they are subjected to efficient long-range transport. Such results have been previously suggested [e.g., *Merrill et al.*, 1989].

### 3.4. Dust Deposition

Figure 6 shows the annual total deposition (dry and wet deposition) averaged over 5 years (1987–1990 and 1996). In this figure a latitudinal gradient appears clearly, with a maximum between 0° and 45°N, and a minimum below 45°S. The highest deposition is over western China with a maximum of 162  $\text{g m}^{-2} \text{yr}^{-1}$ .

Figure 7 shows the comparison between the annual observed and simulated removal rate at 16 sites. The site location, the period of measurements, and the observed and simulated values of the total annual deposition flux are given in Table 6. The 16 sites are also indicated in Figure 6 with their number. The range of observed values varies from 450  $\text{g m}^{-2} \text{yr}^{-1}$  over the Taklimakan to 0.08  $\text{g m}^{-2} \text{yr}^{-1}$  in equatorial Pacific.

Figure 7 shows that the model successfully predicts high versus low deposition ranging over 4 orders of magnitude, although it tends to underpredict the highest



**Figure 6.** Global distribution of the annual total deposition flux ( $\text{g m}^{-2} \text{yr}^{-1}$ ), averaged over 5 years simulation (1987–1990 and 1996).

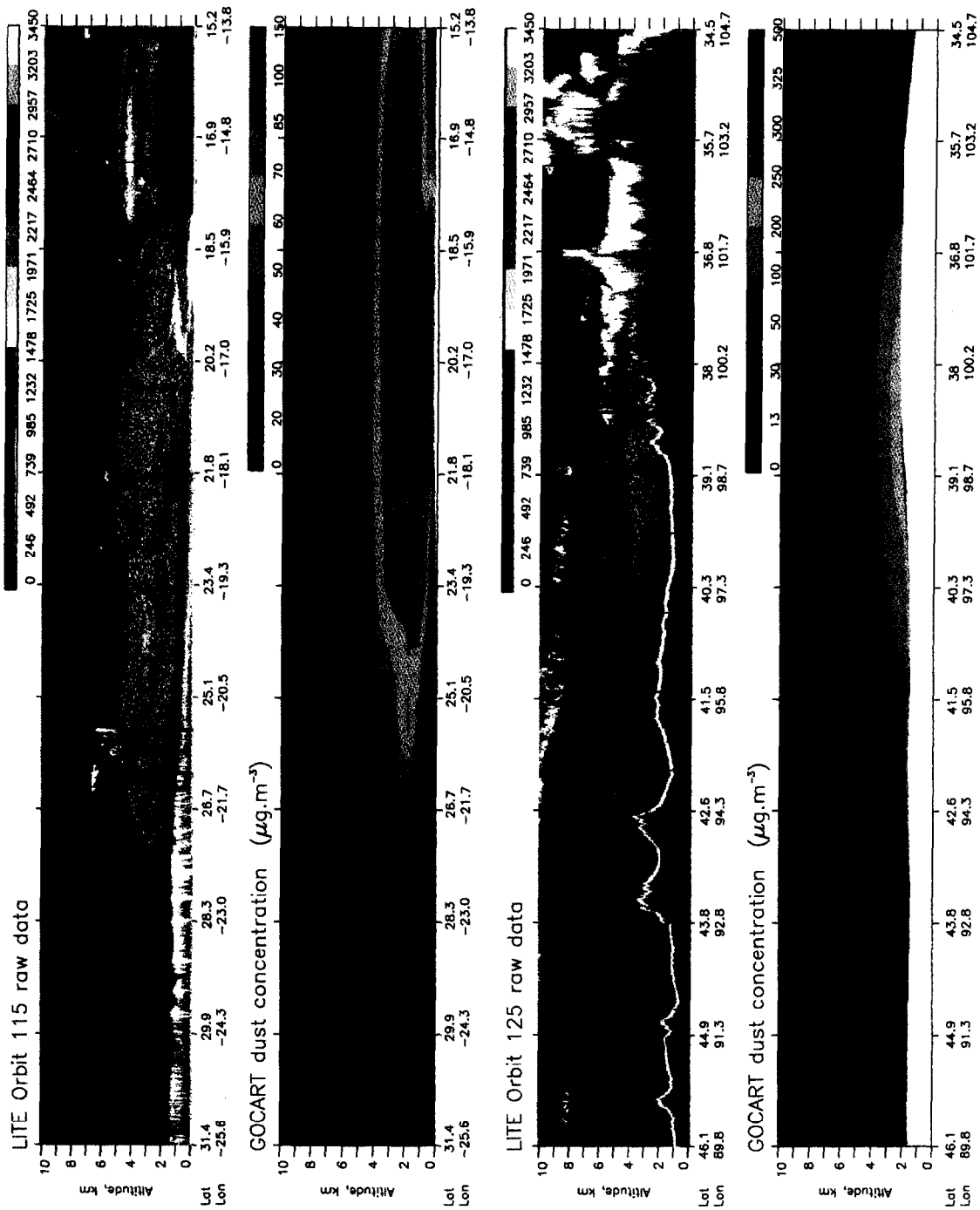
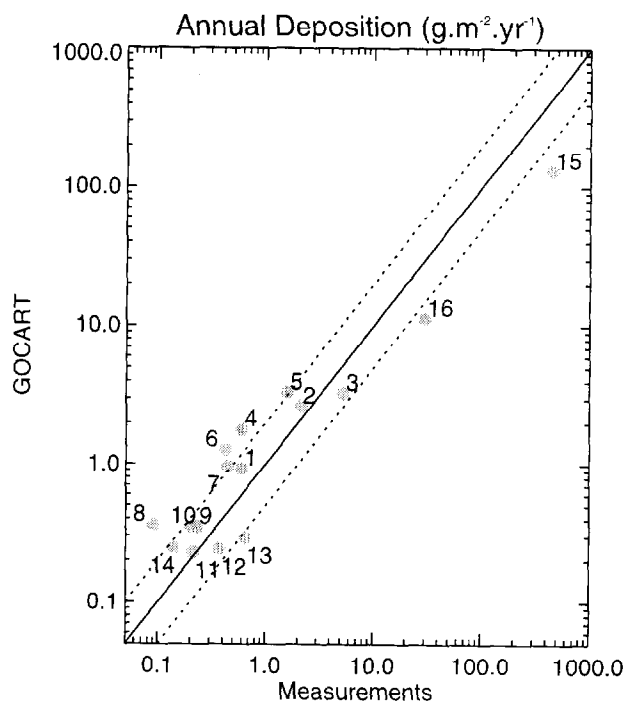


Plate 1. Comparison of LITE backscattering ratio at 532 nm with simulated dust concentration ( $\mu\text{g}/\text{m}^3$ ) along the orbits 115 and 125

**Plate 1.** Vertical sections, from the surface to 10 km altitude, of aerosols on September 17, 1994, over the Sahara (orbit 115) and China (orbit 125). The top and third panels are the LITE raw signal at 532 nm. The second and bottom panels are the simulated dust concentration ( $\mu\text{g m}^{-3}$ ) along the same orbits.



**Figure 7.** Comparison of annual total deposition flux simulated and observed at 16 sites.

values and overpredict the lowest values. The underpredicted values over the Taklimakan (15), Tel Aviv (16), and Spain (3) are most likely due to the contribution of particles larger than  $6\ \mu\text{m}$  (largest simulated particle radius). The closer the measurements to the source the higher the fraction of large particles. Observed deposition fluxes at three closely located sites 12, 13, and 14 vary by a factor of 5, while the model shows only 20%

differences. This indicates that there is a large local variability in deposition rates that is not resolved by the model. Despite this fact, we conclude that the deposition fluxes are in general simulated reasonably well.

### 3.5. Optical Thickness

The optical thickness  $\tau$  is calculated from the dust mass load by the relation

$$\tau(\lambda) = \sum_i \frac{3}{4} \frac{Q_{\text{ext}}(\lambda, r_i) M_i}{r_i \rho_i}, \quad (6)$$

where  $Q_{\text{ext}}(\lambda, r_i)$  is the extinction efficiency factor at wavelength  $\lambda$  and effective radius  $r_i$ ,  $M_i$  is the column mass loading for the size class  $i$ , and  $\rho_i$  is the mass density of the size class  $i$ . The values of  $Q_{\text{ext}}(\lambda, r_i)$  are calculated using Mie theory and assuming lognormal size distributions (M. Chin et al., Tropospheric aerosol optical thickness from the GOCART model and comparisons with satellite and Sun photometer measurements, submitted to *Journal of Atmospheric Science*, 2001, hereinafter referred to as Chin et al., submitted manuscript, 2001).

**3.5.1. Comparison with AVHRR data.** The global distribution of the aerosol optical thickness has been retrieved at 630 nm from the AVHRR instrument [Husar et al., 1997]. Owing to the large variability of land surface reflectance in the visible range, only information over the ocean can be retrieved. The optical thickness has been derived assuming a single scattering albedo equal to 1 which leads to underestimate the true value. Although the AVHRR measures total aerosol optical thickness, dust is the major aerosol component at

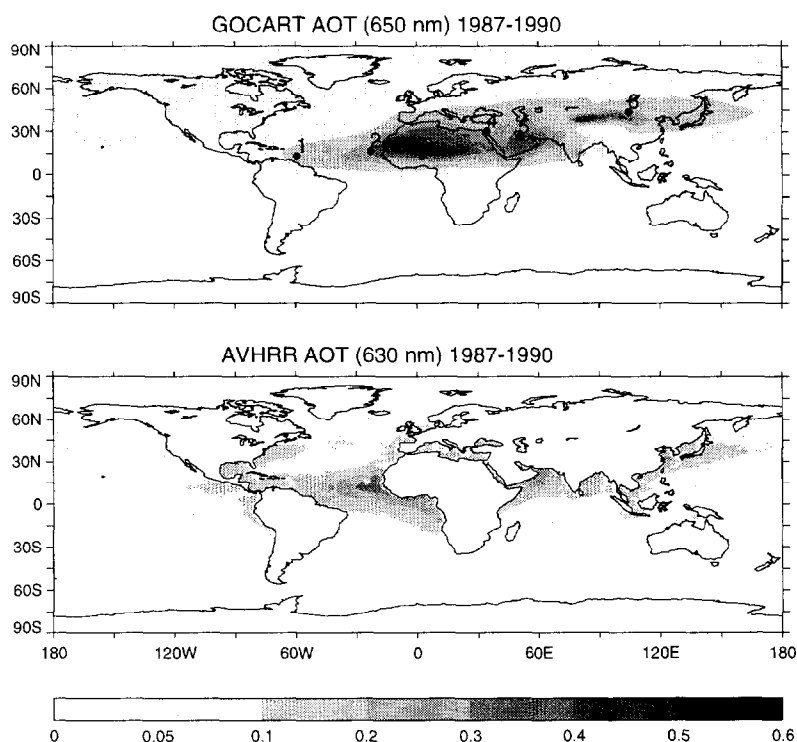
**Table 6.** Model Versus Observed Total Dust Deposition Fluxes at 16 Sites<sup>a</sup>

Site	Location	Latitude	Longitude	Years	Measurement <sup>b</sup>	GOCART <sup>c</sup>
1	Shemya	52.92°N	174.06°E	1981-1987	0.6	$0.9 \pm 0.1$
2	French Alps	45.5°N	6.5°E	1955-1985	2.1	$2.6 \pm 0.5$
3	Spain	41.8°N	2.3°E	1987-1990	$5.3 \pm 2.6$	$3.3 \pm 0.6$
4	Midway	28.2°N	177.35°W	1981-1987	0.6 (0.3-1.1)	$1.8 \pm 0.1$
5	Miami	25.75°N	80.25°W	1982-1983	1.62	$3.3 \pm 0.5$
6	Oahu	21.3°N	157.6°W	1981-1987	0.42 (0.4-0.5)	$1.3 \pm 0.2$
7	Enewetak	11.3°N	162.3°E	1981-1987	0.44	$0.9 \pm 0.3$
8	Fanning	3.9°N	159.3°W	1981-1987	0.09 (0.05-0.22)	$0.4 \pm 0.1$
9	Nauru	0.53°S	166.95°E	1981-1987	0.23	$0.35 \pm 0.1$
10	Samoa	14.25°S	170.6°W	1981	0.15 (0.02-0.22)	$0.35 \pm 0.07$
11	Rarotonga	21.25°S	159.75°W	1981-1987	0.21	$0.23 \pm 0.04$
12	New Caledonia	22.15°S	167°E	1983-1985	0.37	$0.25 \pm 0.05$
13	Norfolk Island	29.08°S	167.98°E	1983-1987	0.64	$0.3 \pm 0.06$
14	New Zealand	34.5°S	172.75°E	1983	0.14 (0.1-1)	$0.25 \pm 0.05$
15	Taklimakan	40°N	85°E	1994	450 (110-1900)	$133 \pm 7$
16	Tel Aviv	32°N	34.5°E	1972	30 (20-40)	$11 \pm 0.7$

<sup>a</sup>Units are in  $\text{g m}^{-2} \text{yr}^{-1}$ .

<sup>b</sup>References: Prospero et al. [1989] for sites 1, 4, 6, 7, 8, 9, 11, 12, and 13; De Angelis and Gaudichet [1991] for site 2; Avila et al. [1997] for site 3; Prospero et al. [1987] for site 5; Arimoto et al. [1987] for site 10; Arimoto et al. [1990] for site 14; Zhang et al. [1998] for site 15; Ganor and Mamane [1982] for site 16.

<sup>c</sup>Model results are 5 years (1987-1990 and 1996) average.



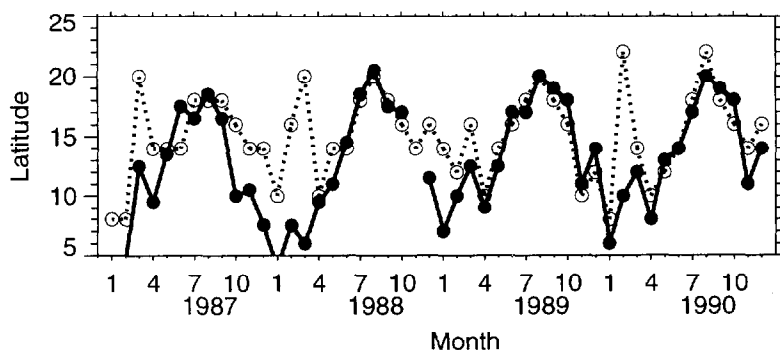
**Figure 8.** Comparison of the mean optical thicknesses (top) simulated at 650 nm and (bottom) observed at 630 nm by AVHRR from 1987 to 1990.

several regions such as the tropical-subtropical North Atlantic, western Pacific, and Arabian Sea.

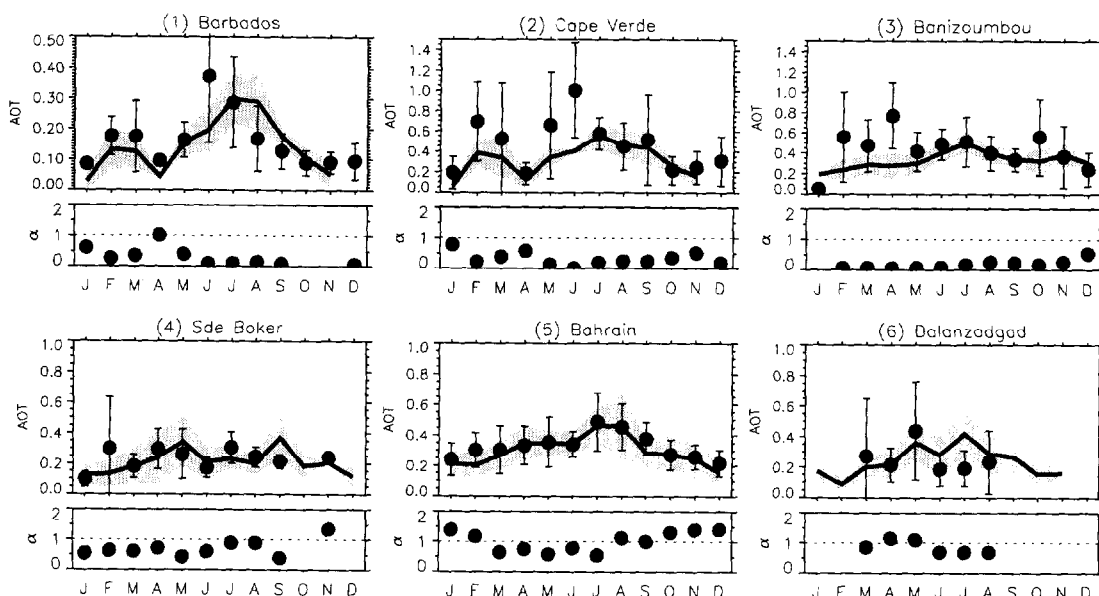
Figure 8 shows the mean optical thickness at 650 nm in comparison with the mean AVHRR optical thickness at 630 nm from 1987 to 1990. The comparison shows that the calculated optical thickness corresponds well to the observed values over the dust dominated oceanic regions. The model reproduces correctly three major dust plumes extending over the North Atlantic, the Arabian Sea, and the North Pacific. The simulated background values of optical thickness in the Northern Hemisphere are between 0.05 and 0.1 which is comparable to the observed values. Because of the significant contribution of other aerosol types which have not been included in this work, it is possible that the model overestimates the background value in the remote regions of the Northern

Hemisphere. The observed high values in the tropical regions is due to biomass burning aerosols which are not simulated.

It has been reported from the AVHRR data that the location of the aerosol plume in the tropical North Atlantic shifts with the seasons [e.g., Rao *et al.*, 1988]. In their modeling study, Tegen and Fung [1995] suggested that emissions from human disturbed soils had to be included in the model in order to reproduce the observed seasonal shift. On the other hand, recent studies have shown that it is the combination of biomass burning and dust aerosols that causes the seasonal shift [Takamura *et al.*, 2000; Chin *et al.*, submitted manuscript, 2001]. To understand the dust contributions, Figure 9 shows the monthly variation of the latitudinal position of the maximum dust aerosol optical thickness along



**Figure 9.** Latitudinal variation of the maximum optical thickness along the 25°W meridian deduced from AVHRR data (continuous line) between January 1987 and December 1990 (November 1988 is missing), and from the model simulations (dashed line).



**Figure 10.** Comparison of optical thicknesses simulated (bold line) and measured by AERONET (dots) at six sites; the standard deviation of the simulated and observed values are represented by shading and vertical segments, respectively. The lower panels give the monthly Angstrom parameter  $\alpha$ , calculated from the AERONET optical thicknesses at 440 and 670 nm.

without the necessity of invoking any contribution from the 25°W meridian. From this figure it appears that the model reproduces the observed latitudinal shift in 1989 and 1990. However, in 1987 and 1988 from late fall to early spring, the simulated latitude of the dust plume is located farther north. The difference is particularly significant in March 1988. To understand this difference, we have analyzed the daily distributions. From this analysis it appears that the GOCART model simulates an intense dust plume originating from the west Sahara and moving to Europe over the last week of March 1988, in agreement with the TOMS aerosol index. For the same period the TOMS aerosol index has maxima over Nigeria and Ghana, south of 10°N. These maxima indicate the presence of biomass burning activities.

From this comparison it seems that the contribution of dust load to the aerosol optical thickness is generally not sufficient to explain the interannual variability of the latitudinal shift of the aerosol plume over the North Atlantic. The emission of other aerosol types by biomass burning is the major factor to explain maximum optical thickness south of 10°N over the Atlantic in winter. However, due to the interannual variability of fire activities and surface wind conditions, the maxima of dust load and aerosol optical thickness can occasionally correspond in winter, as it was for the years 1989 and 1990. The low latitude of dust plumes is simulated anthropogenic disturbances to soils.

**3.5.2. Comparison with AERONET data.** The simulated optical thickness has been compared with the Aerosol Robotic Network (AERONET) data. AERONET is a federated worldwide network of Sun photome-

ters that are monitored and maintained at the NASA Goddard Space Flight Center [Holben *et al.*, 1998]. Data have been collected since 1993, but most sites have been operational after 1996. All the AERONET values used in this comparison have been screened for cloud-free conditions [Smirnov *et al.*, 2000a]. This screening have rejected a substantial number of data. To avoid bias, the comparison is based on model results extracted for the days when cloud-screened data are available. We select six sites where dust is considered to be the dominant aerosol type, although the contribution of other aerosol types can be significant. The presence of other aerosols can be detected on the basis of the wavelength dependency of optical depth. The Angstrom parameter  $\alpha$  can be used as a first-order indicator of spectral extinction behavior [Eck *et al.*, 1999]. The empirical expression of  $\alpha$  is given as

$$\alpha = -\frac{\ln\left(\frac{\tau_2}{\tau_1}\right)}{\ln\left(\frac{\lambda_2}{\lambda_1}\right)}, \quad (7)$$

where  $\tau_2$  and  $\tau_1$  are the optical thickness at wavelength  $\lambda_1$  (440 nm) and  $\lambda_2$  (670 nm), respectively. In general, the smaller the particle size, the stronger the wavelength dependence, thus the larger the  $\alpha$  values. Typical values of  $\alpha$  range from  $>2$  for smoke particles and pollution aerosols to nearly zero for high optical thickness dust particles [Holben *et al.*, 1991].

Figure 10 shows the comparison of optical thicknesses calculated at 450 nm from the model results and observed at 440 nm at six AERONET sites. The  $\alpha$  values retrieved at these sites are also shown in Figure 10 for

indication of particle sizes. Table 7 shows the location, the selected period of measurements for each site, and the corresponding simulation period.

At Barbados the model simulates the observed seasonal variation of optical thickness within the standard deviation. The observed June 1997 maximum is shifted to July by the model, but the simulated values are well within the observed standard deviation. *Smirnov et al.* [2000b] have studied in detail the AERONET data over Barbados and found a high correlation between the monthly mean optical thickness and dust concentration at the surface. Figure 4 shows that the model captures the observed maximum surface concentration in June (1987–1990 and 1996). The discrepancy between the model and observations in June 1997 is thus not systematic but is most likely related to the variation of calculated emission rates in 1997.

The Cape Verde site is close to the Saharan dust source. At this site the model reproduces, within the standard deviation, the observed optical thickness, at the exception of June 1997. For this month the  $\alpha$  parameter is around 0.1 which is characteristic for dust, and the discrepancy cannot be attributed to the presence of other aerosols. It is most likely due to strong perturbations over the dust source which were not resolved in the model.

The Banizoumbou site is southwest of the Bodele depression and is representative of the Sahelian dust sources. The model reproduces the seasonal cycle within the standard deviation except in April where it underestimates the optical thickness by a factor of 3. The explanation given for the discrepancy at Cape Verde, June 1997, can also be given for this case.

At Sde Boker (Israel) and Barhain the model reproduces the seasonal variation within the standard deviation. However, the  $\alpha$  values at both sites are generally high, indicating the presence of submicron particles. The dust optical thickness is probably overestimated in the model.

At Dalanzadgad (Mongolia) the simulated optical thickness is higher than observed by as much as a factor of 2 in July, but is within the observed standard deviation for the other months. As at the Sde Boker and Bahrain sites, the  $\alpha$  values are generally around 1 which indicates that the dust optical thickness is probably overestimated in the model.

These comparisons suggest that the model reproduces the optical thickness associated with major dust plumes over the oceans. The simulated background values in the Northern Hemisphere at 650 nm is 0.05–0.1. Near the dust sources the simulated values are within the standard deviation of the AERONET data, with the exception of the Asian site. From these comparisons it does not seem that the model overestimates dust emissions.

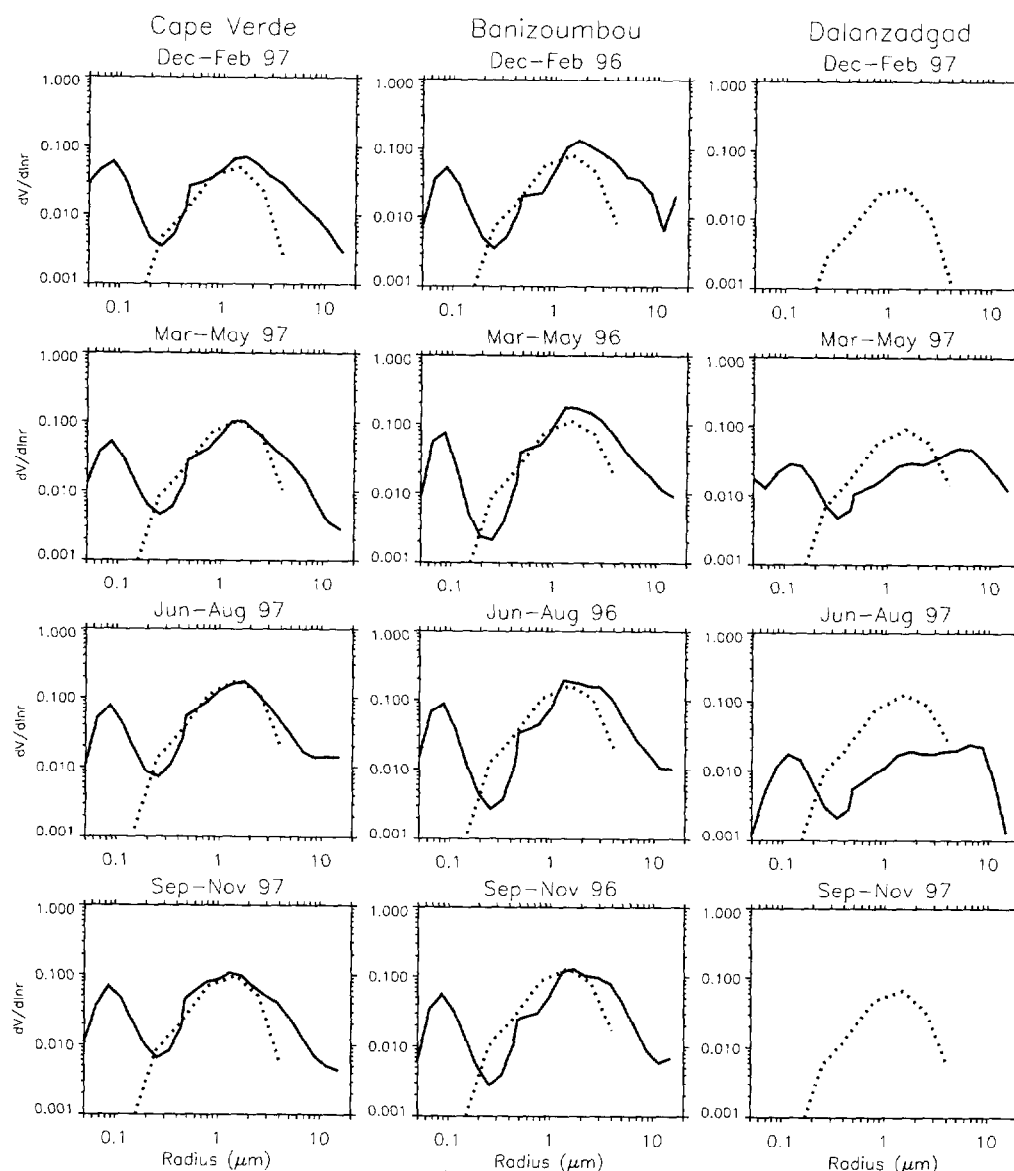
### 3.6. Size Distribution

Aerosol volume size distribution has been retrieved from the AERONET data by an iterative inversion algorithm [*Dubovik and King*, 2000]. The inversion algorithm calculates the effective size distribution for the total atmospheric column, assuming aerosol particles as polydisperse homogeneous spheres. *Dubovik et al.* [2000] showed that the size distribution can be retrieved reasonably well. For dust particles radius less than  $0.3 \mu\text{m}$  the retrieval problem associated with nonsphericity is avoided when the angular range of sky radiances is limited to scattering angles smaller than  $30^\circ$ – $40^\circ$  (around noon). For larger dust particles the limitation to small scattering angles does not apply. The size distribution has been retrieved at only a few sites (<http://aeronet.gsfc.nasa.gov>). Figure 11 shows the seasonal variation of the vertically integrated volume size distribution at Cape Verde, Banizoumbou, and Dalanzadgad, where the AERONET retrieved size distributions have been averaged over 3 months. The observed distribution generally shows a bimodal distribution with a fine (sulfate or carbonaceous aerosols) and a coarse mode with particles larger than  $0.6 \mu\text{m}$ . Only the coarse mode is of interest for this analysis.

At Cape Verde the model reproduces the volume size distribution for each season, although it systematically underestimates the larger size fraction. The contribution of the largest fraction to the total mass is 5 times lower than the fraction centered at  $1.5 \mu\text{m}$ . It thus seems that the lower than observed simulated optical thickness in June 1997 at Cape Verde is due to subscale perturbations unresolved by the model. At Banizoumbou the model reproduces the maximum volume distribution at  $1.5 \mu\text{m}$  radius but overestimates the fraction below  $1.5 \mu\text{m}$  and underestimates the fraction above.

**Table 7.** Site Name, Latitude, Longitude, Selected Year of Measurements, and Corresponding Year of Simulation for Each AERONET Site Used for Comparison

Site	Name	Latitude	Longitude	Year Observed	Year Simulated
1	Barbados	13.2°N	59.5°W	1997	1997
2	Cape Verde	16.7°N	22.9°W	1997	1997
3	Banizoumbou	13.5°N	2.65°E	1996	1996
4	Sde Boker	30.5°N	34.5°E	1996	1996
5	Bahrain	26.3°N	50.5°E	1998–1999	1996–1997
6	Dalanzadgad	43.6°N	104.4°E	1997	1997



**Figure 11.** Comparison of volume size distribution ( $\mu\text{m}^3 \mu\text{m}^{-2}$ ) simulated (dashed line) and retrieved (continuous line) from AERONET data.

Also, the model underestimates by 50% the size distribution at  $1.5 \mu\text{m}$  in winter and spring. This could explain the lower than observed optical thickness in 1997 at Banizoumbou (Figure 10).

At Dalanzadgad (Mongolia) the observed size distribution is quite different from that at Cape Verde and Banizoumbou. The coarse fraction is characterized by two modes: one with a mean radius at  $1.5 \mu\text{m}$  and the second with a mean radius at  $7 \mu\text{m}$  (Figure 11). The contribution of the second mode to the volume size distribution is dominant. This mode is outside the simulated particle size range. The model overestimates the first mode by a factor of 3 in spring and by a factor of 5 in summer. The overestimation of small dust particles compensates for the absence of particles larger than  $6 \mu\text{m}$  such that the simulated atmospheric dust load is comparable to the observations. This explains why the

optical thickness (Figure 10) and surface concentration (Figure 4) near the Asian sources are not largely overestimated. However, because the lifetime of  $1.5 \mu\text{m}$  size particles is much longer than that of particles larger than  $6 \mu\text{m}$ , the overprediction of small particle emission will produce a model bias which is stronger far away from the source than near the source. Furthermore, we have seen (Plate 1) that dust plumes from Asian sources travel at high altitudes where the winds are stronger. We suspect that this efficient long-range transport, especially for small particles, is at the origin of the too high background values of optical thickness (0.05–0.1) and surface concentration (5 times the observed value) estimated by the model over the North Pacific.

Finally, Figure 11 highlights the large difference in the AERONET retrieved size distributions between the



African and Asian stations which does not appear in the model results. In equation (2) the two variables which depend on the size distribution are the soil size fraction  $s_p$  and the threshold velocity  $u_t$ . In our formulation,  $u_t$  is proportional to the square root of the particle radius. As we discussed in section 2.4, there is some evidence that below 30  $\mu\text{m}$  the threshold velocity is instead decreasing with the increase of radius. This could explain the lower than observed fraction of particles between 3 and 6  $\mu\text{m}$ . The use of a more realistic parameterization [e.g., Marticorena and Bergametti, 1995] might improve our results by increasing the fraction of large particles. However, it will not help reproduce the large variability in size distribution between sites. This variability is most likely due to difference in soil properties. The value of  $s_p$  should be region specific, and in the case of Asia it should have a higher percentage of large particles. More measurements are needed to constrain the soil size distribution.

#### 4. Conclusions

We have developed a new global dust source function which identifies the dust source locations at the topographically low regions with bare soil surface. This source function is a generalization of the finding in satellite data analysis (Prospero et al., submitted manuscript, 2000) that the dust sources are associated with topographic depressions. The accumulation of sediments in these depressions during pluvial periods serves as a source of loose particles which can be easily uplifted into the atmosphere. We have generalized this finding and assume that all topographic lows have accumulated sediments which serve as potential dust sources.

With this distribution of dust sources we have simulated the atmospheric dust distribution with the GO-CART model which is driven by assimilated meteorological fields from the GEOS DAS. We have incorporated the emission, transport, and removal processes of dust sizes ranging from 0.1 to 6  $\mu\text{m}$  radius. The model has been evaluated globally over a period of several years by comparing simulation results with observations of dust concentration at the surface, vertical distribution, surface deposition flux, optical thickness, and size distribution.

We have been successful in reproducing observed global scale dust distribution patterns by considering dust emissions exclusively from these so-called "hot spots" regions. The total emission is estimated at 1604–1960  $\text{Tg yr}^{-1}$  in a 5-year simulation. This result is similar to other model results although distribution patterns differ substantially. The model source distribution allows to simulate the latitudinal shift of dust plumes over the North Atlantic but does not always reproduce the low latitude of the aerosol plumes in winter. The contribution of aerosols from biomass burning is the most likely reason for the discrepancy in winter, rather than the contribution from disturbed sources that *Tegen and*

*Fung* [1995] attributed to human activity. Also, other models which identify deserts as dust sources tend to greatly overestimate dust emissions in Australia. Our results did not show such a discrepancy because the model limits the source location to the Lake Eyre basin which is consistent with the analysis by Prospero et al. (submitted manuscript, 2000). The comparison with LITE data shows that dust uplifting is simulated at the correct place and time, although the model does not reproduce all observed features in the vertical aerosol distributions.

Comparisons with observations have shown that the model is generally able to reproduce the seasonal variation of the surface concentration and optical thickness in the "dusty" regions (concentrations  $>2 \mu\text{m}$ ). In remote regions where concentrations are  $<2 \mu\text{m}$  the model tends to overestimate the concentrations. Comparison with surface data is difficult because there is a large local variability which is not resolved by the model. Nevertheless, the model overestimates the surface concentration in the North Pacific by a factor of 3 to 5 which is much larger than the local variability. To understand the origin of this discrepancy, the dust concentration, vertical distribution, optical thickness, and size distribution have been compared with the observations in the vicinity of the Asian dust sources. The comparison did not show any significant discrepancy except for the size distribution. The model overestimates by a factor of 3 to 5 the size distribution at 1.5  $\mu\text{m}$  while, in contrast, the observations show a peak at 7  $\mu\text{m}$ . Near the source the overestimation of small particles compensates the absence of particles larger than 6  $\mu\text{m}$  radius and produces a simulated atmospheric dust load which is comparable to the observations. Far away from the sources the atmospheric dust load is largely overestimated because small particles have a longer lifetime. Also, our comparison with LITE data shows that Asian dust particles are uplifted efficiently to 7–8 km altitude where they are subject to long-range transport by the jet streams. Therefore the atmospheric dust loading over the North Pacific is sensitive to the parameterization of dust uplifting and to the soil size distribution in Asian desert. From the comparison of the size distribution near the dust sources, it clearly appears that the size distribution differs significantly between the African and Asian soils. Past studies have been concentrated on dust characterization and mobilization over the Sahara, and there are little data available on Asian dust sources. This study shows that such data are needed to simulate correctly dust distribution over East Asia and the North Pacific.

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